

防范非法证券期货宣传月活动——资本市场重大历史事件：中国集体诉讼第一案



4 16! " # \$ % & ' () * + , - . / 0 1 2 3 4 5 6 7 8 9 :

; < = > 16! ? @) 6 A B C D E " F ! " G H I J K L M = N G H
I O P = Q R S T U G O = Q V W \$ X Y Z [5 6 \] ^ _ ` G H I)
a b " c d e f 0 1 2 g h] ^ _ `) 3 4 i ; < = > j k l . / 0
1 2 3 4 m n o p q 7 i

! " # \$ % & ' () * + , - . / 0 1 2 3 4 5 *) 6 7 8 9 : ;
< 5 *) 6 7 , = > ? @ A B C D ; < 5 *) 6 7 , E = F G H I
J K L M N O P Q R S T U V , W X = Y F G H I Z / J K , [= Y
\] ^ F _ ` a b c , d e # f g h i j k l m n o p q r s t

! " uvawx; <5*)

67yz{ | } ~K

* r s t u v w x y 2 z] ^ _ ` . / O 1 2 3 4 { | } ~ I { 1

E " 2020 5 " * r s] ^ _ ` f e

%& " B u 2 f L u i

] ^ _ ` B 2016 2018 3 t t

P 300 " 7 " " . / "

s " " %& G H I Q "

9 G H I) " H q % &] i G H I J K L M

%& " W \$ G H I a b " e f O 1 2 g h] ^ _ `

O 1 2 3 4 i * r s 1 E " j G H I J K L M g

3 4 e r " J ! G H I i

S 6 " " * r s j h # \$ % >) & ' () " * + , - . / .

/ O 1 2 3 4 O 1 2 3 L 2 " , . / O 1 2 3 4 e 4 5 6

7 i

广州H中院uk适用; <5*) 67程序

审理/O12 B虚假陈述(任CD案

; <=>BCDE" 2020 12 31! " ; <=>\$p989: ;
<=[11\>C?@A3BCD EFG] ^_` [22\ C*
+H I J yK, - 67i

89: ; <=L 11\>C?@, Mf NKO12"@ dOO1P
t @QR34dO cdh q734) STGHI " UAV' O
1234i 2021 2 10! " ; <=>LoW kI V' O12
34mnopq7" X 9 Y2Z[i 2021 3 26! " \>?
9] V' O1234 Y^~BC_i

2021 3 30! " >C89: ; <=[11\GHI ` a=b*r
s ; cd=efsg hi Pj kTI mno ; p qrs)
" t; <=>cduh; cd=efsg hi Pj k
TI mno ; p qrsf q7 C" dOvwXJy C B
CD EFG[Cz{ | } ~yKi ; <%=> >Cuh
C) cd Ei

2021 4 8! " GO=QUW\$9XYZ[56\ Y2) . /
" t; <=>cdef O12gh34i ; <=>j kl . /O1
234mnopq7i

R7 q W

<http://www.gzcourt.gov.cn/fygg/ck11/2021/04/16171929622.html> V

; < = > X" 7) Y2Z[2017 4 20!R V
A 2018 10 15! R V B6 2018
10 15! % t] ^ _ ` R Uf ST] ^ " * + O
600518V" q7Pt @QR34dO) GHI " Pt] \$
%2 >u op*+%& H I J ?) i } ~7)
_5) H I J * u
) i

; < = > 1E" xJ Y2Z[) GHI * gh . /
O1234" qBC R 2021 5 16! V y
! t \ > U 34i) GHI A3"
* f . / O1234) > Ci

帮助受损中小 ^ F _
得到公平、高效 O 赔偿

* r s u v w x y 2 " = b 3 4 2 3 O
1 2 H " G H I J K L M O 1 2)
G H I " G J L M 5 0 \) G H I . /
- . / O 1 2 3 4 m n g h 3 4 " G H I
E h E i

J x y 2 #) " H q % & * + , - . / O 1 2 3 4 "
= b P > u H q % & f
i t Y ' 2 3 r | - % & /
s p U s t Y * + i } ~) 2 3
" i y K u L 2 5) 2 3 " ? @ f
5 H q % & . / 2 U # t) J ! t Y
\$) = N G H I B %) } ~ i

h i P j h E " 3 4 * + % & G H I
) " O 1 2 O 1 i 2 ? A i 3 4 i (x " = b
A % & G H I 1.8 " 3 4 j G H I) q
3 4 " t Y \$ I S) A 3 [{ | i]
^ _ ` 3 4 7 j f = b H q % & i " t Y
2 ? P [H q % & f i

= * = 9 " 8GHI JKLMj , . / O1234 e
4 567" ; =NGHI B %) } ~i @ " *
r sj h# \$% >) &' () " L * +
, - . / . / O1234O123L2" t Hq%& JKG
HI f h i yKu 3
) # " Z 6 t t) Hq%
&i

/ O1 2 财务造假始末

预谋、# 组织、长期、系统财务造假

] ^ _ ` P " Hq%&] i L * r s
) W " 2016 2018 "] ^ _ ` t B 22
i [' H6 ? a" gH H
887 " H 275 " H Y 39 i * r s
] ^ _ ` 7e %& " j] ^ _ `
u2 f Lui

2019 4 30 ! "] ^ _ ` 6 ? @ 9 2018 2019 6
2018 3 og [20 B Ci

20 BC=" 6 | f] u x s g d) BC_P
J 9B 2017 =) s g ' W "
2017 J S=" 2017 =) H g 299.44
" ` g 88.98 " ` q g 76.62 i

" j * +t B ? { r " UB P p
v 2[{ " %3u i

ef] ^_`) ogLM"; cd=efsg hi Pj 2019 5
* r s 7) Wi

2019 5 17! " * r s'] ^_` 7) W 7" 2018 " *
r s! 4r ? "] ^_` P C " H I J [
" * r s 7) Wi ! "] ^_` ?@u B
ST E B) UE BCi

2019 6 28! " ST] ^ 2018 3 c s " B i BC
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Z) { | " j * r s) W" ! t) W 8i

2020 5 14! " * r s?@ U"] ^_`
7e %& "] ^_` yw d"

C" 60 " 21 \ y K 2 90 10 *
[" 6 \ y K 2 10 * + % & i u
= L M f d) W o p m n = i @ " * r s
j] ^ _ ` u 2 f L u i

* r s c " 2 3 H q % &] ? 7) 2 3 "
L \$ q) % & i 6 % ` A "
P [G H I Y) f " u = L M y
` " H q % &] ? 7 i " * r s j J %
" | |) y " | i u y
i } ~ ~ [u y " P [f i

2021 4 16 ! " S T] ^ ? @ S ` C " g 2020 244.8
-299.2 " x g 148.5 -178.2 i q ` C
d " g B H f x " ` a " g B j 2020
3 C % E i

BC ? @ " S T] ^ B C z 9 j { O
q g U u) p i j O B)
P " B q g U u) p o "
x g U *) " x ` H) Y) i
8 = b * +